

## Compliance Questions

### Financial and General

FG-1. Does this application commit UW funds for cost sharing, matching or program continuation?

Yes  No

FG-2. Will the proposed project be conducted off-campus (excluding outgoing subcontracts) as stated in GIM 13?

Yes  No

FG-3. Are F&A costs reimbursed at less than the federally negotiated rate for the UW?

Yes  No

FG-4. Does this application require any new, rental or renovation to existing space?

Yes  No

FG-5. Does this application provide compensation for overtime, special premium, or other supplement to regular salary?

Yes  No

FG-6. Does this application require any deliverables (other than the usual written progress reports)?

Yes  No

FG-7. Does this application request administrative support or office supplies?

Yes  No

FG-8. Does this application involve a UW interdisciplinary facility, service center or institute requiring additional approval?

Yes  No

FG-9. Does this application require the review of organizations not otherwise identified on this application by the personnel involved, the organization receiving funding or the other Compliance questions (for lab space, equipment, TBA personnel, joint appointments, etc.)?

Yes  No

### Security and Export

Federal law requires UW to obtain a license from the federal government before certain items may be transported outside the United States or certain technology is shared with foreign persons. Your OSP representative will notify you if a license is required.

SEC-1. Will any export-controlled items be transported outside the United States in connection with this project?

Yes  No

SEC-2. Will any export-controlled technology be shared with or transferred to foreign persons (including UW employees and students), on or off campus?

Yes  No

SEC-3. Will this project potentially involve restrictions on participation, restrictions on access to research facilities, or restrictions on publication?

Yes  No

SEC-4. Will this project potentially involve access to classified national security information?

Yes  No

## Compliance Questions

### Non-Governmental Applications

NG-1. Did a representative from the University Advancement (either College or Central Administration) provide assistance with this application?

Yes  No

NG-2. Does this application have funding from a foreign source or foreign subsidiary entity?

Yes  No

NG-3. Does this application involve flow-through funds?

Yes  No

### Environmental Health and Safety

EHS-1. Will this project involve pathogenic agents, potential biohazards, recombinant DNA, human tissues or cells, hazardous materials in animal studies or highly toxic chemicals?

Yes  No

EHS-2. Will this project involve the acquisition, possession, use, transfer or shipping of Select Agents, Exempted Select Agents or Toxin?

Yes  No

EHS-3. Will the proposed project generate either hazardous waste without disposal options or mixed waste (both radioactive and hazardous components) or multi-hazard waste (biological and chemical and radioactive components)?

Yes  No

EHS-4. Does the proposed project involve any of these specialized uses of radiation: transuranics, gaseous alpha-emitter, or intentional release of radionuclides to the atmosphere?

Yes  No

### Human Subjects and Stem Cells

HS-1. Does this application involve the use of human subjects?

Yes  No

HS-2. Will this research involve the use and/or creation of human embryonic stem cells?

Yes  No

### Animal Use

AC-1. Does this application involve the use of vertebrate animals?

Yes  No